



DIVISION OF
ENFORCEMENT

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

February 8, 2022

Via ECF

The Honorable John P. Cronan
United States District Judge
U.S. District Court for the Southern District of New York
500 Pearl Street
New York, NY 10007-1312

**Re: *SEC v. Cole*, No. 1:19-CV-11148-JPC
Joint Status Letter**

Dear Judge Cronan:

Pursuant to this Court's October 19, 2020 Order (*see* ECF No. 26), the parties respectfully submit this joint status letter to update the Court regarding the proceedings in *United States v. Neil Cole*, 19-CR-00869-ER. Since the last joint status letter was filed on November 10, 2021 (*see* ECF No. 33), the government informed the Court that it would seek a retrial of Mr. Cole with respect to the open counts, and the Court indicated that it would request a trial date of July 18, 2022.

As ordered, the parties will file the next joint status letter in this matter on or before May 9, 2022.

Respectfully submitted,

/s/ Fernando Campoamor-Sánchez
Fernando Campoamor-Sánchez
Securities and Exchange Commission
(202) 551-8523
campoamorsanchezf@sec.gov
Counsel for Plaintiff

/s/ Richard C. Tarlowe
Lorin L. Reisner
Richard C. Tarlowe
Andrew D. Reich
Paul, Weiss, Rifkind, Wharton & Garrison LLP
(212) 373-3035
rtarlowe@paulweiss.com
Counsel for Defendant